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APPLICATION NO. FILING DATE		DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/731,139 12/06/2000		2000	Christiane Guitard	4-31268A	8688	
1095	7590	01/29/2002				
THOMAS HOXIE			EXAMINER			
NOVARTIS CORPORATION PATENT AND TRADEMARK DEPT				WEDDINGTO	WEDDINGTON, KEVIN E	
564 MORRIS AVENUE SUMMIT, NJ 079011027				ART UNIT	PAPER NUMBER	
,				1614		
				DATE MAILED: 01/29/2002	8	

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

Applica

09/731,139

Examiner

Art Unit

Guitard et al.



Kevin E. Weddington 1614 -- The MAILING DATE of this communicati n appears on the cover she t with the correspondence address -Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136 (a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). - Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status 1) Responsive to communication(s) filed on Jan 3, 2002 2a) This action is **FINAL**. 2b) X This action is non-final. 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11; 453 O.G. 213. Disposition of Claims 4) X Claim(s) 1-10 is/are pending in the application. 4a) Of the above, claim(s) 10 is/are withdrawn from consideration. 5) Claim(s) \_\_\_\_\_\_ is/are allowed. 6) 💢 Claim(s) <u>1-9</u> is/are rejected. 7) U Claim(s) \_\_\_\_\_\_ \_\_\_\_\_ is/are objected to. \_\_\_\_\_\_ are subject to restriction and/or election requirement. 8) Claims \_\_ **Application Papers** 9) The specification is objected to by the Examiner. 10) The drawing(s) filed on \_\_\_\_\_\_ is/are objected to by the Examiner. 11) ☐ The proposed drawing correction filed on is: a) ☐ approved b) ☐ disapproved. 12)  $\square$  The oath or declaration is objected to by the Examiner. Priority under 35 U.S.C. § 119 13) Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d). a) X All b) ☐ Some\* c) ☐ None of: 1. X Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). \*See the attached detailed Office action for a list of the certified copies not received. 14) Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e). Attachment(s) 15) Notice of References Cited (PTO-892) 18) Interview Summary (PTO-413) Paper No(s). 16) Notice of Draftsperson's Patent Drawing Review (PTO-948) 19) Notice of Informal Patent Application (PTO-152) 17) Information Disclosure Statement(s) (PTO-1449) Paper No(s). 20) Other:

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Claims 1-10 are presented for examination.

Applicants' information disclosure statement filed April 24, 2001 has been received and entered.

Applicants' election filed January 3, 2002 in response to the restriction requirement of November 7, 2001 has been received and entered. The applicants elected the invention described in claims 1-9 (Group I) with traverse.

Applicants' traverse of the restriction requirement is not found persuasive for reasons of record as set forth the restriction requirement dated November 7, 2001. The restriction requirement is hereby made Final.

Claim 10 is withdrawn from consideration as being drawn to the non-elected invention (37 CFR 1.142(b)).

## **Priority**

Receipt is acknowledged of papers submitted under 35 U.S.C. 119(a)-(d), which papers have been placed of record in the file.

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## Claim Rejections - 35 U.S.C. § 112

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Claims 1-9 are rejected under 35 U.S.C. 112, first paragraph, as containing subject matter which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention.

Applicants' specification does not contain any test results or any experimental data showing the instant hypoglycemic agent will, in fact, prevent conditions and diseases associated with IGT or IFG, especially in a human or mammal not presently at risk of or predisposed to developing such conditions or diseases.

Applicants' specification does not contain any test results or any experimental data showing what types of cancers are being prevented in the human or mammal.

Applicants' specification does not contain any examples or does not disclose any DPP-IV inhibitors, GLP1 and GLP1 agonist compounds.

Claim 7 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 7 is rendered vague and indefinite because the claim recites a hypoglycemic agent as an insulin secretion enhancer and an insulin sensitiser. Are the applicants claiming a composition comprising a combination of an insulin secretion enhancer and an insulin sensitiser?

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Claims 1-9 are not allowed.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Examiner K. Weddington whose telephone number is (703) 308-1235.

evin E. Weddington Primary Examiner Art Unit 1614

K. Weddington

January 28, 2002